

## Disclosure of Complaints by Portfolio Managers

Complaints data for Caspian Impact Investment Advisers Private Limited (Co-Investment Services Only) for the month ended: 31<sup>st</sup> January 2026.

### 1. Investor Complaints Data for the month ending 31<sup>st</sup> January 2026.

S. No.	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending complaints > 3 months	Average Resolution time (in days)
1	Directly from Investors	Nil	Nil	Not Applicable	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Not Applicable	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Not Applicable	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not Applicable</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

### 2. Trend of monthly disposal of complaints

S. No	Month	Carried forward from previous month	Received	Resolved	Pending
1	April 2025	Nil	Nil	Not Applicable	Nil
2	May 2025	Nil	Nil	Not Applicable	Nil
3	June 2025	Nil	Nil	Not Applicable	Nil
4	July 2025	Nil	Nil	Not Applicable	Nil
5	August 2025	Nil	Nil	Not Applicable	Nil
6	September 2025	Nil	Nil	Not Applicable	Nil
7	October 2025	Nil	Nil	Not Applicable	Nil

8	November 2025	Nil	Nil	Not Applicable	Nil
9	December 2025	Nil	Nil	Not Applicable	Nil
10	January 2026	Nil	Nil	Not Applicable	Nil
	<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>	<b>Nil</b>

### 3. Trend of annual disposal of complaints

S. No	FY	Carried forward from previous year	Received	Resolved	Pending
1	2023-24	Nil	Nil	Not applicable	Nil
2	2024-25	Nil	Nil	Not applicable	Nil
	<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Not applicable</b>	<b>Nil</b>